

Kevin ZHONG Partner

Investment Funds | PE/VC, M&A Dispute Resolution



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Shenzhen

Kaiwen Zhong is a partner of the Shenzhen office at Merits & Tree. Prior to joining Merits & Tree, Mr. Zhong was a senior partner of the Shenzhen office at Deheng, and he held positions such as executive committee member of the National Financial Committee, director of the Financial Committee of the Shenzhen office, and member of the Business Development Committee at the same law firm.

Mr. Zhong's main practice areas include Investment Funds (including government-guided funds, market-oriented mother funds, private equity funds, QFLP and QDLP funds), Banking and Finance (including regional financial innovation and regulation, bank and syndicated loans, insurance fund investments, trust and securities asset management, and other financial asset management), PE/VC, M&A (including both debt and equity investment and financing activities), and Dispute Resolution from the above fields (including post-investment management, litigation, and arbitration).

Achievements

Investment Funds

In the field of Investment Funds, Mr. Zhong and his team have provided services to various types of fund clients, including government-guided funds, market-oriented mother funds, private equity funds, and other fund categories, along with their respective management entities. Some of the clients served include Shenzhen Infrastructure Investment Guiding Fund, Shenzhen Angel Investment Guiding Fund, Hainan Free Trade Port Guiding Fund, Shenzhen Futian District Guiding Fund, Zhongshan West Bay FOF, Qianhai FOF, LW Capital and Shenzhen Longhua District Guiding Fund, Sequoia China, Huagai Capital, CR Capital and CR FOF, CITIC Capital, Huarong Equity Investment Fund (Shenzhen) and Huarong International, CITIC Urban Development Fund, Minmetals Securities Private

Equity Subsidiary-Minmetals Kingdon, Galaxy Securities private equity fund subsidiaries-Galaxy Capita, CR Didital Conversion funds, China Life Franklin, SCGC, Green Pine Capital, ShouTaiJinXin, TsingYuan Investment and its funds, Triwise Capital, Credit Prosperity Fund, Zhongke Shuaixian Fund, Jinshuo Asset, Cerulean Capital ect.

Banking and Finance

In the field of Banking and Finance, Mr. Zhong and his team have provided legal services in areas such as asset management product design, PE/VC, M&A, and other related matters for various licensed financial institutions including China Merchants Bank(Head Office), Ping An Bank(Shenzhen Branch), Foresea Life Insurance, Sino Life, China Life Investment, Ping An Trust, CR Trust, Everbright Trust, Tibet Trust, Bohai Trust, CITIC Trust, Cedar Trust, Bosera Capital, Beixin Ruifeng, Merchants Pingan, China Huarong, China Cinda(Shenzhen Branch), China Great Wall(Guangdong Branch), Orient Asset, Dongguan Asset, CMB Wealth Management, Ping An Wealth Management, Vanho Securities, Minmetals Securities, Yintai Securities, Founder Securities.

Financial and Investment Fund Regulatory Affairs


In the field of Financial and Investment Fund Regulatory Affairs, Mr. Zhong and his team have provided private investment funds and other related areas to regulatory authorities including CSRC Shenzhen Securities Regulatory Bureau, CSRC Hainan Securities Regulatory Bureau, Shenzhen Local Financial Supervision and Administration Bureau, Guangdong-Macao In-Depth Cooperation Zone in Hengqin Financial Development Council, Hainan Province Local Financial Supervision Administration, Shenzhen Private equity Funds Association, Shenzhen Venture Capital Association. They have also assisted regulatory authorities in conducting on-site and off-site inspections of various business types, and provided legal support for law enforcement and administrative penalties. Mr. Zhong currently serves as a special member representative of the Shenzhen Private Fund Industry Association, a representative of the supervisory unit of the Shenzhen Venture Capital Association, a legal consultant for “Guide Fund Investment” appointed by the Luohu District Government of Shenzhen, and the deputy of the Fund and Futures Profession Committee of the Shenzhen Bar Associates.

Post-Investment Management and Dispute Resolution

In the field of post-investment management and dispute resolution, Mr. Zhong and his team leverage their extensive professional knowledge and experience in financial asset management, Investment Funds, and PE/VC, M&A. They are well-versed in various financial asset management and investment fund product structures, external investment methods, and transaction models. They possess a deep understanding of the key legal relationships and risk points involved. This enables them to assist clients in swiftly identifying dispute focal points and engaging in precise “offense and defense” strategies during litigation or arbitration, aiming to maximize the parties' rights and interests. Simultaneously, they also have the ability to “step out of the dispute case” and help both parties explore alternative solutions and risk control strategies. They design new approaches to balance the interests and risks of both sides, achieving both case resolution and dispute settlement. Based on this approach, Mr. Zhong’s team has handled numerous financial disputes between investment institutions and financing parties in the “transaction end,” including financial lending disputes and equity investment disputes. In the “fund end,” they have handled disputes involving asset management institutions, fund managers, and investors, such as asset management/investment fund contract disputes, entrusted management obligations disputes, and property infringement disputes. Furthermore, in the “asset end”, they have managed cases related to real estate cooperative development disputes, urban renewal disputes, and corporate equity/shareholder disputes.

Honors

 2021 LEGALBAND Special Issue: China Top 15 Lawyers - Asset Management

 2018 Outstanding Young Lawyers in Commemoration of the 40th Anniversary of Reform and Opening up and the 30th Anniversary of the Establishment of Shenzhen Lawyers Association

Social Positions

Arbitrator in arbitration institutions including the Shenzhen International Arbitration Court (South China International Economic and Trade Arbitration Commission, Shenzhen Arbitration Commission) and the Zhuhai International Arbitration Court

Education

LLM., Peking University

The PKU-KWM Global Legal and Business Executive Program, Peking University

Admissions

PRC Bar

Language

Chinese, English